

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of )  
Shahram Mihan et al. )  
Serial No. 10/583,575 )  
Filed: June 19, 2006 )  
For MONOCYCLOPENTADIENYL )  
COMPLEXES )

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**TRANSMITTAL LETTER**

Submitted herewith for filing in the U.S. Patent and Trademark Office are the following:

- Postcard
- Transmittal Letter
- Letter Transmitting Missing Requirements (in duplicate) (2 pages)
- Copy of Form PCT/DO/EO/905 (371 Formalities Notice) (2 pages)
- Copy of Declaration (2 pages)
- Assignment Cover Sheet (1 page)
- Copy of Assignment (2 pages)

Respectfully submitted,

**SHAHRAM MIHAN ET AL.**

By William R. Reid  
**William R. Reid**  
Registration No. 47,894  
**Attorney for Applicant**

Basell USA Inc.  
912 Appleton Road  
Elkton, Maryland 21921  
Date: May 3, 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 07 2007

RECEIVED  
U.S. PATENT & TRADEMARK OFFICE

U.S. APPLICATION NUMBER NO.

10/583,575

FIRST NAMED APPLICANT

Shahram Mihan

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

ATTY. DOCKET NO.

LU 615 (US)

INTERNATIONAL APPLICATION NO.

PCT/EP04/14253

I.A. FILING DATE

12/15/2004

PRIORITY DATE

12/19/2003

~~34872~~  
 BASELL USA INC.  
 INTELLECTUAL PROPERTY  
 912 APPLETON ROAD  
 ELKTON, MD 21921

SNH

LU 6151

RPTD

Date Mailed: 04/02/2007

CONFIRMATION NO. 8885

371 FORMALITIES LETTER



\*OC000000023193010\*

Due: 4/2/07

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/19/2006
- Copy of the International Search Report filed on 06/19/2006
- Copy of IPE Report filed on 06/19/2006
- Preliminary Amendments filed on 06/19/2006
- Information Disclosure Statements filed on 10/13/2006
- Request for Immediate Examination filed on 06/19/2006
- U.S. Basic National Fees filed on 06/19/2006
- Priority Documents filed on 06/19/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

JOHN L ANDERSON

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Telephone: (703) 308-9140 EXT 211

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/583,575	PCT/EP04/14253	LU 6152 (US)

FORM PCT/DO/EO/905 (371 Formalities Notice)

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P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**LETTER TRANSMITTING MISSING REQUIREMENTS UNDER 35 USC 371**

With reference to the Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office, mailed April 2, 2007, here is the **Declaration for Patent**, as well as an **Assignment** document for this case.

These documents relate to this application for which Form PTO-1390 was filed on June 19, 2006, and have been signed by the inventors whose names were given on the Application. Acceptance of this Declaration and Assignment and filing of the same is requested.

Please charge \$130 to Deposit Account No. 08-2336 to cover the surcharge required for late filing of the Declaration. For this purpose this letter is submitted in duplicate. Any deficiency or overpayment should be charged or credited to Deposit Account No. 08-2336.

05/18/2007 GCREY1 00000000 002336 10583575

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Respectfully submitted,

**SHAHRAM MIHAN ET AL.**

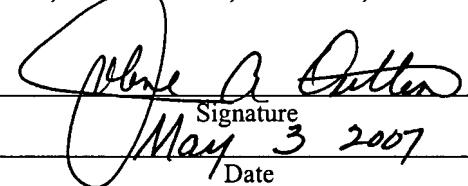
By William R. Reid  
William R. Reid  
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May 3, 2007

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on May 3, 2007.

  
\_\_\_\_\_  
Signature  
\_\_\_\_\_  
May 3 2007  
Date